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107TH CONGRESS 2D SESSION

S. 2182

[Report No. 107-239]

To authorize funding for computer and network security research and development and research fellowship programs, and for other purposes.

IN THE SENATE OF THE UNITED STATES

APRIL 17, 2002

Mr. Wyden (for himself, Mr. Allen, and Mrs. Clinton) introduced the following bill; which was read twice and referred to the Committee on Commerce, Science, and Transportation

August 1, 2002

Reported by Mr. Hollings, with an amendment

[Strike out all after the enacting clause and insert the part printed in italic]

A BILL

To authorize funding for computer and network security research and development and research fellowship programs, and for other purposes.

- 1 Be it enacted by the Senate and House of Representa-
- 2 tives of the United States of America in Congress assembled,
- 3 SECTION 1. SHORT TITLE.
- 4 This Act may be cited as the "Cyber Security Re-
- 5 search and Development Act".

SEC. 2. FINDINGS.

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- (1) Revolutionary advancements in computing and communications technology have interconnected government, commercial, scientific, and educational infrastructures—including critical infrastructures for electric power, natural gas and petroleum production and distribution, telecommunications, transportation, water supply, banking and finance, and emergency and government services—in a vast, interdependent physical and electronic network.
- (2) Exponential increases in interconnectivity have facilitated enhanced communications, economic growth, and the delivery of services critical to the public welfare, but have also increased the consequences of temporary or prolonged failure.
- (3) A Department of Defense Joint Task Force concluded after a 1997 United States information warfare exercise that the results "clearly demonstrated our lack of preparation for a coordinated cyber and physical attack on our critical military and civilian infrastructure".
- (4) Computer security technology and systems implementation lack—
- 25 (A) sufficient long term research funding;

1	(B) adequate coordination across Federal
2	and State government agencies and among gov-
3	ernment, academia, and industry; and
4	(C) sufficient numbers of outstanding re-
5	searchers in the field.
6	(5) Accordingly, Federal investment in com-
7	puter and network security research and develop-
8	ment must be significantly increased to—
9	(A) improve vulnerability assessment and
10	technological and systems solutions;
11	(B) expand and improve the pool of infor-
12	mation security professionals, including re-
13	searchers, in the United States workforce; and
14	(C) better coordinate information sharing
15	and collaboration among industry, government,
16	and academic research projects.
17	SEC. 3. DEFINITIONS.
18	For purposes of this Act—
19	(1) the term "Director" means the Director of
20	the National Science Foundation; and
21	(2) the term "institution of higher education"
22	has the meaning given that term in section 101 of
23	the Higher Education Act of 1965 (20 U.S.C.
24	1001).

SEC. 4. NATIONAL SCIENCE FOUNDATION RESEARCH. 2 (a) Computer and Network Security Research 3 GRANTS. 4 (1) In GENERAL.—The Director shall award 5 grants for basic research on innovative approaches 6 to the structure of computer and network hardware 7 and software that are aimed at enhancing computer 8 security. Research areas may include— 9 (A) authentication and cryptography; 10 (B) computer forensics and intrusion de-11 tection; 12 (C) reliability of computer and network ap-13 plications, middleware, operating systems, and 14 communications infrastructure: 15 (D) privacy and confidentiality; 16 (E) firewall technology; 17 (F) emerging threats, including malicious 18 such as viruses and worms: 19 (G) vulnerability assessments; 20 (H) operations and control systems man-21 agement; and 22 (I) management of interoperable digital 23 certificates or digital watermarking. MERIT REVIEW; COMPETITION.—Grants 24 25 shall be awarded under this section on a merit-re-

viewed competitive basis.

1	(3) AUTHORIZATION OF APPROPRIATIONS.—
2	There are authorized to be appropriated to the Na-
3	tional Science Foundation to carry out this
4	subsection—
5	(A) \$35,000,000 for fiscal year 2003;
6	(B) \$40,000,000 for fiscal year 2004;
7	(C) \$46,000,000 for fiscal year 2005;
8	(D) \$52,000,000 for fiscal year 2006; and
9	(E) \$60,000,000 for fiscal year 2007.
10	(b) Computer and Network Security Research
11	CENTERS.—
12	(1) IN GENERAL.—The Director shall aware
13	multiyear grants, subject to the availability of appro-
14	priations, to institutions of higher education (or con-
15	sortia thereof) to establish multidisciplinary Centers
16	for Computer and Network Security Research. Insti-
17	tutions of higher education (or consortia thereof) re-
18	ceiving such grants may partner with one or more
19	government laboratories or for-profit institutions.
20	(2) MERIT REVIEW; COMPETITION.—Grants
21	shall be awarded under this subsection on a merit-
22	reviewed competitive basis.
23	(3) PURPOSE.—The purpose of the Centers
24	shall be to generate innovative approaches to com-
25	puter and network security by conducting cutting

1	edge, multidisciplinary research in computer and
2	network security, including the research areas de
3	scribed in subsection $(a)(1)$.
4	(4) APPLICATIONS.—An institution of higher
5	education (or a consortium of such institutions
6	seeking funding under this subsection shall submi-
7	an application to the Director at such time, in such
8	manner, and containing such information as the Di
9	rector may require. The application shall include, a
10	a minimum, a description of—
11	(A) the research projects that will be un
12	dertaken by the Center and the contributions of
13	each of the participating entities;
14	(B) how the Center will promote active col
15	laboration among scientists and engineers from
16	different disciplines, such as computer sci
17	entists, engineers, mathematicians, and socia
18	science researchers;
19	(C) how the Center will contribute to in
20	ereasing the number of computer and network
21	security researchers and other professionals
22	and
23	(D) how the center will disseminate re
24	search results quickly and widely to improve

1	cybersecurity in information technology net-
2	works, products, and services.
3	(5) Criteria.—In evaluating the applications
4	submitted under paragraph (4), the Director shall
5	consider, at a minimum—
6	(A) the ability of the applicant to generate
7	innovative approaches to computer and network
8	security and effectively carry out the research
9	program;
10	(B) the experience of the applicant in con-
11	ducting research on computer and network se-
12	curity and the capacity of the applicant to fos-
13	ter new multidisciplinary collaborations;
14	(C) the eapacity of the applicant to attract
15	and provide adequate support for under-
16	graduate and graduate students and
17	postdoctoral fellows to pursue computer and
18	network security research; and
19	(D) the extent to which the applicant will
20	partner with government laboratories or for-
21	profit entities, and the role the government lab-
22	oratories or for-profit entities will play in the
23	research undertaken by the Center.
24	(6) Annual Meeting.—The Director shall
25	convene an annual meeting of the Centers in order

1	to foster collaboration and communication between
2	Center participants.
3	(7) AUTHORIZATION OF APPROPRIATIONS.—
4	There are authorized to be appropriated for the Na-
5	tional Science Foundation to carry out this
6	subsection—
7	(A) \$12,000,000 for fiscal year 2003;
8	(B) \$24,000,000 for fiscal year 2004;
9	(C) \$36,000,000 for fiscal year 2005;
10	(D) \$36,000,000 for fiscal year 2006; and
11	(E) \$36,000,000 for fiscal year 2007.
12	SEC. 5. NATIONAL SCIENCE FOUNDATION COMPUTER AND
13	NETWORK SECURITY PROGRAMS.
14	(a) Computer and Network Security Capacity
15	Building Grants.—
16	(1) In General.—The Director shall establish
17	a program to award grants to institutions of higher
18	education (or consortia thereof) to establish or im-
19	prove undergraduate and master's degree programs
20	in computer and network security, to increase the
21	number of students who pursue undergraduate or
22	master's degrees in fields related to computer and
23	network security, and to provide students with expe-
24	rience in government or industry related to their
25	computer and network security studies.

1	(2) MERIT REVIEW.—Grants shall be awarded
2	under this subsection on a merit-reviewed competi
3	tive basis.
4	(3) USE OF FUNDS.—Grants awarded under
5	this subsection shall be used for activities that en
6	hance the ability of an institution of higher edu
7	cation (or consortium thereof) to provide high-qual
8	ity undergraduate and master's degree programs in
9	computer and network security and to recruit and
10	retain increased numbers of students to such pro
11	grams. Activities may include—
12	(A) revising curriculum to better prepare
13	undergraduate and master's degree students for
14	eareers in computer and network security;
15	(B) establishing degree and certificate pro
16	grams in computer and network security;
17	(C) creating opportunities for under
18	graduate students to participate in computer
19	and network security research projects;
20	(D) acquiring equipment necessary for stu
21	dent instruction in computer and network secu
22	rity, including the installation of testbed net
23	works for student use;
24	(E) providing opportunities for faculty to
25	work with local or Federal Government agen

1	cies, private industry, or other academic institu-
2	tions to develop new expertise or to formulate
3	new research directions in computer and net-
4	work security;
5	(F) establishing collaborations with other
6	academic institutions or departments that seek
7	to establish, expand, or enhance programs in
8	computer and network security;
9	(G) establishing student internships in
10	computer and network security at government
11	agencies or in private industry;
12	(H) establishing or enhancing bridge pro-
13	grams in computer and network security be-
14	tween community colleges and universities; and
15	(I) any other activities the Director deter-
16	mines will accomplish the goals of this sub-
17	section.
18	(4) Selection process.—
19	(A) Application.—An institution of high-
20	er education (or a consortium thereof) seeking
21	funding under this subsection shall submit an
22	application to the Director at such time, in such
23	manner, and containing such information as the
24	Director may require. The application shall in-

elude, at a minimum—

1 (i) a description of the applicant's
2 computer and network security research
3 and instructional capacity, and in the case
4 of an application from a consortium of in-
5 stitutions of higher education, a descrip-
6 tion of the role that each member will play
7 in implementing the proposal;
8 (ii) a comprehensive plan by which the
9 institution or consortium will build instruc-
0 tional capacity in computer and informa-
1 tion security;
2 (iii) a description of relevant collabo-
3 rations with government agencies or pri-
4 vate industry that inform the instructional
5 program in computer and network secu-
6 rity;
7 (iv) a survey of the applicant's his-
8 toric student enrollment and placement
9 data in fields related to computer and net-
work security and a study of potential en-
rollment and placement for students en-
rolled in the proposed computer and net-
work security program; and
(v) a plan to evaluate the success of
the proposed computer and network secu-

rity program, including post-graduation assessment of graduate school and job placement and retention rates as well as the relevance of the instructional program to
graduate study and to the workplace.

- (B) AWARDS.—(i) The Director shall ensure, to the extent practicable, that grants are awarded under this subsection in a wide range of geographic areas and categories of institutions of higher education.
- (ii) The Director shall award grants under this subsection for a period not to exceed 5 years.
- (5) Assessment required.—The Director shall evaluate the program established under this subsection no later than 6 years after the establishment of the program. At a minimum, the Director shall evaluate the extent to which the grants achieved their objectives of increasing the quality and quantity of students pursuing undergraduate or master's degrees in computer and network security.
- (6) AUTHORIZATION OF APPROPRIATIONS.—
 There are authorized to be appropriated to the National Science Foundation to carry out this subsection—

1	(A) \$15,000,000 for fiscal year 2003;
2	(B) \$20,000,000 for fiscal year 2004;
3	(C) \$20,000,000 for fiscal year 2005;
4	(D) \$20,000,000 for fiscal year 2006; and
5	(E) \$20,000,000 for fiscal year 2007.
6	(b) SCIENTIFIC AND ADVANCED TECHNOLOGY ACT
7	of 1992.—
8	(1) Grants.—The Director shall provide
9	grants under the Scientific and Advanced Tech-
10	nology Act of 1992 for the purposes of section 3(a)
11	and (b) of that Act, except that the activities sup-
12	ported pursuant to this subsection shall be limited to
13	improving education in fields related to computer
14	and network security.
15	(2) Authorization of appropriations.—
16	There are authorized to be appropriated to the Na-
17	tional Science Foundation to carry out this
18	subsection—
19	(A) \$1,000,000 for fiscal year 2003;
20	(B) \$1,250,000 for fiscal year 2004;
21	(C) \$1,250,000 for fiscal year 2005;
22	(D) \$1,250,000 for fiscal year 2006; and
23	(E) \$1,250,000 for fiscal year 2007.
24	(e) Graduate Traineeships in Computer and
25	Network Security Research.—

1	(1) In General.—The Director shall establish
2	a program to award grants to institutions of higher
3	education to establish trainceship programs for
4	graduate students who pursue computer and net-
5	work security research leading to a doctorate degree
6	by providing funding and other assistance, and by
7	providing graduate students with research experience
8	in government or industry related to the students'
9	computer and network security studies.
10	(2) Merit review.—Grants shall be provided
11	under this subsection on a merit-reviewed competi-
12	tive basis.
13	(3) Use of funds.—An institution of higher
14	education shall use grant funds for the purposes
15	of —
16	(A) providing fellowships to students who
17	are citizens, nationals, or lawfully admitted per-
18	manent resident aliens of the United States and
19	are pursuing research in computer or network
20	security leading to a doctorate degree;
21	(B) paying tuition and fees for students
22	receiving fellowships under subparagraph (A);
23	(C) establishing scientific internship pro-

grams for students receiving fellowships under

subparagraph (A) in computer and network se-

24

1	curity at for-profit institutions or government
2	laboratories; and
3	(D) other costs associated with the admin-
4	istration of the program.
5	(4) Fellowship Amount.—Fellowships pro-
6	vided under paragraph (3)(A) shall be in the amount
7	of \$25,000 per year, or the level of the National
8	Science Foundation Graduate Research Fellowships,
9	whichever is greater, for up to 3 years.
10	(5) SELECTION PROCESS.—An institution of
11	higher education seeking funding under this sub-
12	section shall submit an application to the Director at
13	such time, in such manner, and containing such in-
14	formation as the Director may require. The applica-
15	tion shall include, at a minimum, a description of—
16	(A) the instructional program and research
17	opportunities in computer and network security
18	available to graduate students at the applicant's
19	institution; and
20	(B) the internship program to be estab-
21	lished, including the opportunities that will be
22	made available to students for internships at
23	for-profit institutions and government labora-
24	tories.

1	(6) REVIEW OF APPLICATIONS.—In evaluating
2	the applications submitted under paragraph (5), the
3	Director shall consider—
4	(A) the ability of the applicant to effec-
5	tively carry out the proposed program;
6	(B) the quality of the applicant's existing
7	research and education programs;
8	(C) the likelihood that the program will re-
9	cruit increased numbers of students to pursue
10	and earn doctorate degrees in computer and
11	network security;
12	(D) the nature and quality of the intern-
13	ship program established through collaborations
14	with government laboratories and for-profit in-
15	stitutions;
16	(E) the integration of internship opportu-
17	nities into graduate students' research; and
18	(F) the relevance of the proposed program
19	to current and future computer and network se-
20	curity needs.
21	(7) AUTHORIZATION OF APPROPRIATIONS.—
22	There are authorized to be appropriated to the Na-
23	tional Science Foundation to carry out this
24	subsection—
25	(A) \$10.000.000 for fiscal year 2003:

1	(B) \$20,000,000 for fiscal year 2004;
2	(C) \$20,000,000 for fiscal year 2005;
3	(D) \$20,000,000 for fiscal year 2006; and
4	(E) \$20,000,000 for fiscal year 2007.
5	(d) Graduate Research Fellowships Program
6	SUPPORT.—Computer and network security shall be in-
7	eluded among the fields of specialization supported by the
8	National Science Foundation's Graduate Research Fellow-
9	ships program under section 10 of the National Science
10	Foundation Act of 1950 (42 U.S.C. 1869).
11	SEC. 6. CONSULTATION.
12	In earrying out sections 4 and 5, the Director shall
13	consult with other Federal agencies.
14	SEC. 7. FOSTERING RESEARCH AND EDUCATION IN COM-
15	PUTER AND NETWORK SECURITY.
16	Section 3(a) of the National Science Foundation Act
17	of 1950 (42 U.S.C. 1862(a)) is amended—
18	(1) by striking "and" at the end of paragraph
19	(6);
20	(2) by striking the period at the end of para-
21	graph (7) and inserting "; and"; and
22	(3) by adding at the end the following new
23	paragraph:

1	"(8) to take a leading role in fostering and sup-
2	porting research and education activities to improve
3	the security of networked information systems.".
4	SEC. 8. NATIONAL INSTITUTE OF STANDARDS AND TECH-
5	NOLOGY RESEARCH PROGRAM.
6	The National Institute of Standards and Technology
7	Act is amended—
8	(1) by moving section 22 to the end of the Act
9	and redesignating it as section 32;
10	(2) by inserting after section 21 the following
11	new section:
12	"RESEARCH PROGRAM ON SECURITY OF COMPUTER
13	SYSTEMS
14	"Sec. 22. (a) Establishment.—The Director shall
15	establish a program of assistance to institutions of higher
16	education that enter into partnerships with for-profit enti-
17	ties to support research to improve the security of com-
18	puter systems. The partnerships may also include govern-
19	ment laboratories. The program shall—
20	"(1) include multidisciplinary, long-term, high-
21	risk research;
22	"(2) include research directed toward address-
23	ing needs identified through the activities of the
24	Computer System Security and Privacy Advisory
25	Board under section 20(f); and

1 "(3) promote the development of a robust re2 search community working at the leading edge of
3 knowledge in subject areas relevant to the security
4 of computer systems by providing support for grad5 uate students, post-doctoral researchers, and senior
6 researchers.

"(b) FELLOWSHIPS.—(1) The Director is authorized to establish a program to award post-doctoral research fellowships to individuals who are citizens, nationals, or law-lowships to individuals who are citizens, nationals, or law-lowships admitted permanent resident aliens of the United States and are seeking research positions at institutions, including the Institute, engaged in research activities related to the security of computer systems, including the research areas described in section 4(a)(1) of the Cyber Security Research and Development Act.

"(2) The Director is authorized to establish a program to award senior research fellowships to individuals seeking research positions at institutions, including the Institute, engaged in research activities related to the security of computer systems, including the research areas described in section 4(a)(1) of the Cyber Security Research and Development Act. Senior research fellowships shall be made available for established researchers at institutions of higher education who seek to change research fields and pursue studies related to the security of computer systems.

1	"(3)(A) To be eligible for an award under this sub-
2	section, an individual shall submit an application to the
3	Director at such time, in such manner, and containing
4	such information as the Director may require.
5	"(B) Under this subsection, the Director is author-
6	ized to provide stipends for post-doctoral research fellow-
7	ships at the level of the Institute's Post Doctoral Research
8	Fellowship Program and senior research fellowships at lev-
9	els consistent with support for a faculty member in a sab-
10	batical position.
11	"(c) Awards; Applications.—The Director is au-
12	thorized to award grants or cooperative agreements to in-
13	stitutions of higher education to earry out the program
14	established under subsection (a). To be eligible for an
15	award under this section, an institution of higher edu-
16	cation shall submit an application to the Director at such
17	time, in such manner, and containing such information as
18	the Director may require. The application shall include
19	at a minimum, a description of—
20	"(1) the number of graduate students antici-
21	pated to participate in the research project and the
22	level of support to be provided to each;
23	"(2) the number of post-doctoral research posi-
24	tions included under the research project and the

level of support to be provided to each;

1	"(3) the number of individuals, if any, intend-
2	ing to change research fields and pursue studies re-
3	lated to the security of computer systems to be in-
4	eluded under the research project and the level of
5	support to be provided to each; and
6	"(4) how the for-profit entities and any other
7	partners will participate in developing and carrying
8	out the research and education agenda of the part-
9	nership.
10	"(d) Program Operation.—(1) The program es-
11	tablished under subsection (a) shall be managed by indi-
12	viduals who shall have both expertise in research related
13	to the security of computer systems and knowledge of the
14	vulnerabilities of existing computer systems. The Director
15	shall designate such individuals as program managers.
16	"(2) Program managers designated under paragraph
17	(1) may be new or existing employees of the Institute or
18	individuals on assignment at the Institute under the Inter-
19	governmental Personnel Act of 1970.
20	"(3) Program managers designated under paragraph
21	(1) shall be responsible for—
22	"(A) establishing and publicizing the broad re-
23	search goals for the program.

1	"(B) soliciting applications for specific research
2	projects to address the goals developed under sub-
3	paragraph (A);
4	"(C) selecting research projects for support
5	under the program from among applications sub-
6	mitted to the Institute, following consideration of
7	"(i) the novelty and scientific and technical
8	merit of the proposed projects;
9	"(ii) the demonstrated capabilities of the
10	individual or individuals submitting the applica-
11	tions to successfully earry out the proposed re-
12	search;
13	"(iii) the impact the proposed projects will
14	have on increasing the number of computer se-
15	curity researchers;
16	"(iv) the nature of the participation by for-
17	profit entities and the extent to which the pro-
18	posed projects address the concerns of industry;
19	and
20	"(v) other criteria determined by the Di-
21	rector, based on information specified for inclu-
22	sion in applications under subsection (e); and
23	"(D) monitoring the progress of research
24	projects supported under the program.

"(e) REVIEW OF PROGRAM.—(1) The Director shall 1 periodically review the portfolio of research awards monitored by each program manager designated in accordance 3 with subsection (d). In conducting those reviews, the Di-4 rector shall seek the advice of the Computer System Security and Privacy Advisory Board, established under section 21, on the appropriateness of the research goals and on 8 the quality and utility of research projects managed by program managers in accordance with subsection (d). 10 "(2) The Director shall also contract with the National Research Council for a comprehensive review of the program established under subsection (a) during the 5th year of the program. Such review shall include an assessment of the scientific quality of the research conducted, the relevance of the research results obtained to the goals 15 of the program established under subsection (d)(3)(A), and the progress of the program in promoting the development of a substantial academic research community working at the leading edge of knowledge in the field. The Director shall submit to Congress a report on the results of the review under this paragraph no later than six years 21 22 after the initiation of the program. 23 "(f) DEFINITIONS.—For purposes of this section— 24 "(1) the term 'computer system' has the mean-25 ing given that term in section 20(d)(1); and

1	"(2) the term institution of higher education
2	has the meaning given that term in section 101 of
3	the Higher Education Act of 1965 (20 U.S.C
4	1001)."; and
5	(3) in section 20(d)(1)(B)(i) (15 U.S.C. 278g-
6	3(d)(1)(B)(i)), by inserting "and computer net-
7	works" after "computers".
8	SEC. 9. COMPUTER SECURITY REVIEW, PUBLIC MEETINGS
9	AND INFORMATION.
10	Section 20 of the National Institute of Standards and
11	Technology Act (15 U.S.C. 278g-3) is amended by adding
12	at the end the following new subsection:
13	"(f) There are authorized to be appropriated to the
14	Secretary \$1,060,000 for fiscal year 2003 and \$1,090,000
15	for fiscal year 2004 to enable the Computer System Secu-
16	rity and Privacy Advisory Board, established by section
17	21, to identify emerging issues, including research needs
18	related to computer security, privacy, and cryptography
19	and, as appropriate, to convene public meetings on those
20	subjects, receive presentations, and publish reports, di-
21	gests, and summaries for public distribution on those sub-
22	jects.".

23 SEC. 10. INTRAMUTAL SECURITY RESEARCH.

- 24 Section 20 of the National Institute of Standards and
- 25 Technology Act (15 U.S.C. 278g–3) is further amended—

1	(1) by redesignating subsection (d) as sub-
2	section (e); and
3	(2) by inserting after subsection (e) the fol-
4	lowing new subsection:
5	"(d) As part of the research activities conducted in
6	accordance with subsection (b)(4), the Institute shall—
7	"(1) conduct a research program to address
8	emerging technologies associated with assembling a
9	networked computer system from components while
10	ensuring it maintains desired security properties;
11	"(2) carry out research associated with improv-
12	ing the security of real-time computing and commu-
13	nications systems for use in process control; and
14	"(3) carry out multidisciplinary, long-term
15	high-risk research on ways to improve the security
16	of computer systems.".
17	SEC. 11. AUTHORIZATION OF APPROPRIATIONS.
18	There are authorized to be appropriated to the Sec-
19	retary of Commerce for the National Institute of Stand-
20	ards and Technology—
21	(1) for activities under section 22 of the Na-
22	tional Institute of Standards and Technology Act, as
23	added by section 8 of this Act—
24	(A) \$25,000,000 for fiscal year 2003;
25	(B) \$40,000,000 for fiscal year 2004;

1	$\frac{\text{(C) }\$55,000,000 \text{ for fiscal year } 2005;}{\text{(C) }\$55,000,000 \text{ for fiscal year } 2005;}$
2	(D) \$70,000,000 for fiscal year 2006;
3	(E) \$85,000,000 for fiscal year 2007; and
4	(F) such sums as may be necessary for fis-
5	eal years 2008 through 2012; and
6	(2) for activities under section 20(d) of the Na-
7	tional Institute of Standards and Technology Act, as
8	added by section 10 of this Act—
9	(A) \$6,000,000 for fiscal year 2003;
10	(B) \$6,200,000 for fiscal year 2004;
11	(C) \$6,400,000 for fiscal year 2005;
12	(D) \$6,600,000 for fiscal year 2006; and
13	(E) \$6,800,000 for fiscal year 2007.
14	SEC. 12. NATIONAL ACADEMY OF SCIENCES STUDY ON
15	COMPUTER AND NETWORK SECURITY IN
16	CRITICAL INFRASTRUCTURES.
17	(a) STUDY.—Not later than 3 months after the date
18	of the enactment of this Act, the Director of the National
19	Institute of Standards and Technology shall enter into an
20	arrangement with the National Research Council of the
21	National Academy of Sciences to conduct a study of the
22	vulnerabilities of the Nation's network infrastructure and
23	make recommendations for appropriate improvements.
24	The National Research Council shall—

1 (1) review existing studies and associated data 2 on the architectural, hardware, and software 3 vulnerabilities and interdependencies in United

States critical infrastructure networks;

- 5 (2) identify and assess gaps in technical capa-6 bility for robust critical infrastructure network secu-7 rity, and make recommendations for research prior-8 ities and resource requirements; and
- 9 (3) review any and all other essential elements
 10 of computer and network security, including security
 11 of industrial process controls, to be determined in
 12 the conduct of the study.
- 13 (b) REPORT.—The Director of the National Institute
 14 of Standards and Technology shall transmit a report con15 taining the results of the study and recommendations re16 quired by subsection (a) to the Congress not later than
 17 21 months after the date of enactment of this Act.
- (e) SECURITY.—The Director of the National Insti-19 tute of Standards and Technology shall ensure that no in-20 formation that is classified is included in any publicly re-21 leased version of the report required by this section.
- 22 (d) AUTHORIZATION OF APPROPRIATIONS.—There
 23 are authorized to be appropriated to the Secretary of Com24 merce for the National Institute of Standards and Tech-

- 1 nology for the purposes of carrying out this section,
- 2 \$700,000.
- 3 SECTION 1. SHORT TITLE.
- 4 This Act may be cited as the "Cyber Security Research
- 5 and Development Act".
- 6 SEC. 2. FINDINGS.
- 7 The Congress finds the following:
- 8 (1) Revolutionary advancements in computing 9 and communications technology have interconnected 10 government, commercial, scientific, and educational 11 infrastructures—including critical infrastructures for 12 electric power, natural gas and petroleum production 13 and distribution, telecommunications, transportation, 14 water supply, banking and finance, and emergency 15 and government services—in a vast, interdependent physical and electronic network. 16
 - (2) Exponential increases in interconnectivity have facilitated enhanced communications, economic growth, and the delivery of services critical to the public welfare, but have also increased the consequences of temporary or prolonged failure.
 - (3) A Department of Defense Joint Task Force concluded after a 1997 United States information warfare exercise that the results "clearly demonstrated our lack of preparation for a coordinated cyber and

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1	physical attack on our critical military and civilian
2	infrastructure".
3	(4) Computer security technology and systems
4	implementation lack—
5	(A) sufficient long term research funding;
6	(B) adequate coordination across Federal
7	and State government agencies and among gov-
8	ernment, academia, and industry; and
9	(C) sufficient numbers of outstanding re-
10	searchers in the field.
11	(5) Accordingly, Federal investment in computer
12	and network security research and development must
13	be significantly increased to—
14	(A) improve vulnerability assessment and
15	technological and systems solutions;
16	(B) expand and improve the pool of infor-
17	mation security professionals, including re-
18	searchers, in the United States workforce; and
19	(C) better coordinate information sharing
20	and collaboration among industry, government,
21	and academic research projects.
22	(6) While African-Americans, Hispanics, and
23	Native Americans constitute 25 percent of the total
24	United States workforce and 30 percent of the college-
25	age population, members of these minorities comprise

1	less than 7 percent of the United States computer and
2	information science workforce.
3	SEC. 3. DEFINITIONS.
4	For purposes of this Act—
5	(1) the term "Director" means the Director of the
6	National Science Foundation;
7	(2) the term "institution of higher education"
8	has the meaning given that term in section 101 of the
9	Higher Education Act of 1965 (20 U.S.C. 1001); and
10	(3) "Federal agency benchmark security stand-
11	ards" means a baseline minimum security configura-
12	tion for specific computer hardware or software com-
13	ponents, an operational procedure or practice, or or-
14	ganizational structure that increases the security of
15	the information technology assets of a department or
16	agency of the Federal Government.
17	SEC. 4. NATIONAL SCIENCE FOUNDATION RESEARCH.
18	(a) Computer and Network Security Research
19	GRANTS.—
20	(1) In general.—The Director shall award
21	grants for basic research on innovative approaches to
22	the structure of computer and network hardware and
23	software that are aimed at enhancing computer secu-
24	rity. Research areas may include—
25	(A) authentication and cryptography:

1	(B) computer forensics and intrusion detec-
2	tion;
3	(C) reliability of computer and network ap-
4	plications, middleware, operating systems, and
5	$communications\ in frastructure;$
6	(D) privacy and confidentiality;
7	(E) network security architecture, including
8	tools for security administration and analysis
9	such as firewall technology;
10	(F) emerging threats, including malicious
11	such as viruses and worms;
12	(G) vulnerability assessments and tech-
13	niques for quantifying risk;
14	(H) operations and control systems man-
15	agement;
16	(I) management of interoperable digital cer-
17	tificates or digital watermarking; and
18	(I) remote access and wireless security.
19	(2) Merit review; competition.—Grants shall
20	be awarded under this section on a merit-reviewed
21	competitive basis.
22	(3) Authorization of appropriations.—
23	There are authorized to be appropriated to the Na-
24	tional Science Foundation to carry out this
25	subsection—

1	(A) \$35,000,000 for fiscal year 2003;
2	(B) \$40,000,000 for fiscal year 2004;
3	(C) \$46,000,000 for fiscal year 2005;
4	(D) \$52,000,000 for fiscal year 2006; and
5	(E) \$60,000,000 for fiscal year 2007.
6	(b) Computer and Network Security Research
7	Centers.—
8	(1) In General.—The Director shall award
9	multiyear grants, subject to the availability of appro-
10	priations, to institutions of higher education (or con-
11	sortia thereof) to establish multidisciplinary Centers
12	for Computer and Network Security Research. Insti-
13	tutions of higher education (or consortia thereof) re-
14	ceiving such grants may partner with one or more
15	government laboratories or for-profit institutions.
16	(2) Merit review; competition.—Grants shall
17	be awarded under this subsection on a merit-reviewed
18	competitive basis.
19	(3) Purpose.—The purpose of the Centers shall
20	be to generate innovative approaches to computer and
21	network security by conducting cutting-edge, multi-
22	disciplinary research in computer and network secu-
23	rity, including the research areas described in sub-
24	section $(a)(1)$.

1	(4) APPLICATIONS.—An institution of higher
2	education (or a consortium of such institutions) seek-
3	ing funding under this subsection shall submit an ap-
4	plication to the Director at such time, in such man-
5	ner, and containing such information as the Director
6	may require. The application shall include, at a min-
7	imum, a description of—
8	(A) the research projects that will be under-
9	taken by the Center and the contributions of each
10	of the participating entities;
11	(B) how the Center will promote active col-
12	laboration among scientists and engineers from
13	different disciplines, such as computer scientists,
14	engineers, mathematicians, and social science re-
15	searchers;
16	(C) how the Center will contribute to in-
17	creasing the number of computer and network se-
18	curity researchers and other professionals among
19	all cultures; and
20	(D) how the center will disseminate research
21	results quickly and widely to improve cyber secu-
22	rity in information technology networks, prod-

ucts, and services.

1	(5) Criteria.—In evaluating the applications
2	submitted under paragraph (4), the Director shall
3	consider, at a minimum—
4	(A) the ability of the applicant to generate
5	innovative approaches to computer and network
6	security and effectively carry out the research
7	program;
8	(B) the experience of the applicant in con-
9	ducting research on computer and network secu-
10	rity and the capacity of the applicant to foster
11	$new\ multidisciplinary\ collaborations;$
12	(C) the capacity of the applicant to attract
13	and provide adequate support for a diverse
14	group of undergraduate and graduate students
15	and postdoctoral fellows to pursue computer and
16	network security research; and
17	(D) the extent to which the applicant will
18	partner with government laboratories or for-prof-
19	it entities, and the role the government labora-
20	tories or for-profit entities will play in the re-
21	search undertaken by the Center.
22	(6) Annual meeting.—The Director shall con-
23	vene an annual meeting of the Centers in order to fos-
24	ter collaboration and communication between Center

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participants.

1	(7) AUTHORIZATION OF APPROPRIATIONS.—
2	There are authorized to be appropriated for the Na-
3	tional Science Foundation to carry out this
4	subsection—
5	(A) \$12,000,000 for fiscal year 2003;
6	(B) \$24,000,000 for fiscal year 2004;
7	(C) \$36,000,000 for fiscal year 2005;
8	(D) \$36,000,000 for fiscal year 2006; and
9	(E) \$36,000,000 for fiscal year 2007.
10	SEC. 5. NATIONAL SCIENCE FOUNDATION COMPUTER AND
11	NETWORK SECURITY PROGRAMS.
12	(a) Computer and Network Security Capacity
13	Building Grants.—
14	(1) In general.—The Director shall establish a
15	program to award grants to institutions of higher
16	education (or consortia thereof) to establish or im-
17	prove undergraduate and master's degree programs in
18	computer and network security, to increase the num-
19	ber and diversity of students who pursue under-
20	graduate or master's degrees in fields related to com-
21	puter and network security, and to provide students
22	with experience in government or industry related to
23	their computer and network security studies.

1	(2) Merit review.—Grants shall be awarded
2	under this subsection on a merit-reviewed competitive
3	basis.
4	(3) Use of funds.—Grants awarded under this
5	subsection shall be used for activities that enhance the
6	ability of an institution of higher education (or con-
7	sortium thereof) to provide high-quality under-
8	graduate and master's degree programs in computer
9	and network security and to recruit and retain in
10	creased numbers of students to such programs. Activi
11	ties may include—
12	(A) revising curriculum to better prepare
13	undergraduate and master's degree students for
14	careers in computer and network security;
15	(B) establishing degree and certificate pro-
16	grams in computer and network security;
17	(C) creating opportunities for under-
18	graduate students to participate in computer
19	and network security research projects;
20	(D) acquiring equipment necessary for stu
21	dent instruction in computer and network secu
22	rity, including the installation of testbed net
23	works for student use;
24	(E) providing opportunities for faculty to
25	work with local or Federal Government agencies

1	private industry, or other academic institutions
2	to develop new expertise or to formulate new re-
3	search directions in computer and network secu-
4	rity;
5	(F) establishing collaborations with other
6	academic institutions or departments that seek to
7	establish, expand, or enhance programs in com-
8	puter and network security;
9	(G) establishing student internships in com-
10	puter and network security at government agen-
11	cies or in private industry;
12	(H) establishing collaborations with other
13	academic institutions to establish or enhance of
14	web-based collection of computer and network se-
15	curity courseware and laboratory exercises for
16	sharing with other institutions of higher edu-
17	cation and community colleges;
18	(I) providing outreach to teachers in kin-
19	dergarten through grade 12 to increase their
20	awareness, and the awareness of their students
21	of computer security careers and practices; and
22	(I) establishing or enhancing bridge pro-
23	grams in computer and network security between

 $community\ colleges\ and\ universities;\ and$

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1	(K) any other activities the Director deter-
2	mines will accomplish the goals of this sub-
3	section.
4	(4) Selection process.—
5	(A) APPLICATION.—An institution of higher
6	education (or a consortium thereof) seeking fund-
7	ing under this subsection shall submit an appli-
8	cation to the Director at such time, in such man-
9	ner, and containing such information as the Di-
10	rector may require. The application shall in-
11	clude, at a minimum—
12	(i) a description of the applicant's
13	computer and network security research and
14	instructional capacity, and in the case of
15	an application from a consortium of insti-
16	tutions of higher education, a description of
17	the role that each member will play in im-
18	plementing the proposal;
19	(ii) a comprehensive plan by which the
20	institution or consortium will build instruc-
21	tional capacity in computer and informa-
22	tion security;
23	(iii) a description of relevant collabo-
24	rations with government agencies or private

1	industry that inform the instructional pro-
2	gram in computer and network security;
3	(iv) a survey of the applicant's historic
4	student enrollment and placement data in
5	fields related to computer and network secu-
6	rity and a study of potential enrollment
7	and placement for students enrolled in the
8	proposed computer and network security
9	program; and
10	(v) a plan to evaluate the success of the
11	proposed computer and network security
12	program, including post-graduation assess-
13	ment of graduate school and job placement
14	and retention rates as well as the relevance
15	of the instructional program to graduate
16	study and to the workplace.
17	(B) AWARDS.—(i) The Director shall en-
18	sure, to the extent practicable, that grants are
19	awarded under this subsection in a wide range
20	of geographic areas and categories of institutions
21	of higher education, including minority serving
22	institutions.
23	(ii) The Director shall award grants under
24	this subsection for a period not to exceed 5 years.

1	(5) Assessment required.—The Director shall
2	evaluate the program established under this subsection
3	no later than 6 years after the establishment of the
4	program. At a minimum, the Director shall evaluate
5	the extent to which the grants achieved their objectives
6	of increasing the quality, quantity, and diversity of
7	students pursuing undergraduate or master's degrees
8	in computer and network security.
9	(6) Authorization of Appropriations.—
10	There are authorized to be appropriated to the Na-
11	tional Science Foundation to carry out this
12	subsection—
13	(A) \$15,000,000 for fiscal year 2003;
14	(B) \$20,000,000 for fiscal year 2004;
15	(C) \$20,000,000 for fiscal year 2005;
16	(D) \$20,000,000 for fiscal year 2006; and
17	(E) \$20,000,000 for fiscal year 2007.
18	(b) Scientific and Advanced Technology Act of
19	1992.—
20	(1) Grants.—The Director shall provide grants
21	under the Scientific and Advanced Technology Act of
22	1992 (42 U.S.C. 1862i) for the purposes of section
23	3(a) and (b) of that Act, except that the activities
24	supported pursuant to this subsection shall be limited

1	to improving education in fields related to computer
2	and network security.
3	(2) Authorization of Appropriations.—
4	There are authorized to be appropriated to the Na-
5	tional Science Foundation to carry out this
6	subsection—
7	(A) \$1,000,000 for fiscal year 2003;
8	(B) \$1,250,000 for fiscal year 2004;
9	(C) \$1,250,000 for fiscal year 2005;
10	(D) \$1,250,000 for fiscal year 2006; and
11	(E) \$1,250,000 for fiscal year 2007.
12	(c) Graduate Traineeships in Computer and
13	Network Security Research.—
14	(1) In general.—The Director shall establish a
15	program to award grants to institutions of higher
16	education to establish traineeship programs for grad-
17	uate students who pursue computer and network secu-
18	rity research leading to a doctorate degree by pro-
19	viding funding and other assistance, and by pro-
20	viding graduate students with research experience in
21	government or industry related to the students' com-
22	puter and network security studies.
23	(2) Merit review.—Grants shall be provided
24	under this subsection on a merit-reviewed competitive
25	basis.

1	(3) Use of funds.—An institution of higher
2	education shall use grant funds for the purposes of—
3	(A) providing fellowships to students who
4	are citizens, nationals, or lawfully admitted per-
5	manent resident aliens of the United States and
6	are pursuing research in computer or network
7	security leading to a doctorate degree;
8	(B) paying tuition and fees for students re-
9	ceiving fellowships under subparagraph (A);
10	(C) establishing scientific internship pro-
11	grams for students receiving fellowships under
12	subparagraph (A) in computer and network se-
13	curity at for-profit institutions or government
14	laboratories; and
15	(D) other costs associated with the adminis-
16	tration of the program.
17	(4) Fellowship amount.—Fellowships pro-
18	vided under paragraph (3)(A) shall be in the amount
19	of \$25,000 per year, or the level of the National
20	Science Foundation Graduate Research Fellowships,
21	whichever is greater, for up to 3 years.
22	(5) Selection process.—An institution of
23	higher education seeking funding under this sub-
24	section shall submit an application to the Director at
25	such time, in such manner, and containing such in-

1	formation as the Director may require. The applica-
2	tion shall include, at a minimum, a description of—
3	(A) the instructional program and research
4	opportunities in computer and network security
5	available to graduate students at the applicant's
6	institution; and
7	(B) the internship program to be estab-
8	lished, including the opportunities that will be
9	made available to students for internships at for-
10	profit institutions and government laboratories.
11	(6) Review of Applications.—In evaluating
12	the applications submitted under paragraph (5), the
13	Director shall consider—
14	(A) the ability of the applicant to effectively
15	carry out the proposed program;
16	(B) the quality of the applicant's existing
17	research and education programs;
18	(C) the likelihood that the program will re-
19	cruit increased numbers and a more diverse pop-
20	ulation of students to pursue and earn doctorate
21	degrees in computer and network security;
22	(D) the nature and quality of the internship
23	program established through collaborations with
24	government laboratories and for-profit institu-
25	tions;

1	(E) the integration of internship opportuni-
2	ties into graduate students' research; and
3	(F) the relevance of the proposed program to
4	current and future computer and network secu-
5	rity needs.
6	(7) Authorization of Appropriations.—
7	There are authorized to be appropriated to the Na-
8	tional Science Foundation to carry out this
9	subsection—
10	(A) \$10,000,000 for fiscal year 2003;
11	(B) \$20,000,000 for fiscal year 2004;
12	(C) \$20,000,000 for fiscal year 2005;
13	(D) \$20,000,000 for fiscal year 2006; and
14	(E) \$20,000,000 for fiscal year 2007.
15	(d) Graduate Research Fellowships Program
16	Support.—Computer and network security shall be in-
17	cluded among the fields of specialization supported by the
18	National Science Foundation's Graduate Research Fellow-
19	ships program under section 10 of the National Science
20	Foundation Act of 1950 (42 U.S.C. 1869).
21	(e) Cyber Security Faculty Development Fel-
22	LOWSHIP PROGRAM.—
23	(1) In general.—The Director shall establish a
24	program to award grants to institutions of higher
25	education to establish traineeship programs to enable

1	graduate students to pursue academic careers in cyber
2	security upon completion of doctoral degrees.
3	(2) Application.—Each institution of higher
4	education desiring to receive a grant under this sub-
5	section shall submit an application to the Director at
6	such time, in such manner, and containing such in-
7	formation as the Director shall require.
8	(3) Use of funds.—Funds received by an insti-
9	tution of higher education under this paragraph
10	shall—
11	(A) be made available to individuals on a
12	merit-reviewed competitive basis and in accord-
13	ance with the procedures established in para-
14	graph(5);
15	(B) be in an amount that is sufficient to
16	cover annual tuition and fees for doctoral study
17	at an institution of higher education for the du-
18	ration of the graduate fellowship, and shall in-
19	clude, in addition, an annual living stipend of
20	\$25,000; and
21	(C) be provided to individuals for a dura-
22	tion of no more than 5 years, the specific dura-
23	tion of each graduate fellowship to be determined
24	by the institution of higher education, on a case-

by-case basis.

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1	(4) Repayment.—Each graduate fellowship
2	shall—
3	(A) subject to subparagraph (4)(B), be sub-
4	ject to full repayment upon completion of the
5	doctoral degree according to a repayment sched-
6	ule established and administered by the institu-
7	tion of higher education;
8	(B) be forgiven at the rate of 20 percent of
9	the total amount of the graduate fellowship as-
10	sistance received under this section for each aca-
11	demic year that a recipient is employed as a
12	full-time faculty member at an institution of
13	higher education for a period not to exceed 5
14	years; and
15	(C) be monitored by the institution of high-
16	er education to ensure compliance with this sub-
17	section.
18	(5) Eligibility.—To be eligible to receive a
19	graduate fellowship under this section, an individual
20	shall—
21	(A) be a citizen, national, or lawfully ad-
22	mitted permanent resident alien of the United
23	States;
24	(B) demonstrate a commitment to a career
25	in higher education.

1	(6) Consideration.—In making selections for
2	graduate fellowships under this paragraph, the Direc-
3	tor, to the extent possible and in consultation with in-
4	stitutions of higher education, shall consider a diverse
5	pool of applicants whose interests are of an inter-
6	disciplinary nature, encompassing the social scientific
7	as well as the technical dimensions of cyber security.
8	(7) Authorization of Appropriations.—
9	There are authorized to be appropriated to the Na-
10	tional Science Foundation to carry out this para-
11	graph \$5,000,000 for each of fiscal years 2003
12	through 2007.
13	SEC. 6. CONSULTATION.
14	In carrying out sections 4 and 5, the Director shall
15	consult with other Federal agencies.
16	SEC. 7. FOSTERING RESEARCH AND EDUCATION IN COM-
17	PUTER AND NETWORK SECURITY.
18	Section 3(a) of the National Science Foundation Act
19	of 1950 (42 U.S.C. 1862(a)) is amended—
20	(1) by striking "and" at the end of paragraph
21	(6);
22	(2) by striking "Congress." in paragraph (7)
23	and inserting "Congress; and"; and
24	(3) by adding at the end the following:

1	"(8) to take a leading role in fostering and sup-
2	porting research and education activities to improve
3	the security of networked information systems.".
4	SEC. 8. NATIONAL INSTITUTE OF STANDARDS AND TECH-
5	NOLOGY PROGRAMS.
6	(a) Research Program.—The National Institute of
7	Standards and Technology Act (15 U.S.C. 271 et seq.) is
8	amended—
9	(1) by moving section 22 to the end of the Act
10	and redesignating it as section 32;
11	(2) by inserting after section 21 the following
12	new section:
13	"RESEARCH PROGRAM ON SECURITY OF COMPUTER
14	SYSTEMS
15	"Sec. 22. (a) Establishment.—The Director,
16	through the Director of the Office for Information Security
17	Programs, shall establish a program of assistance to institu-
18	tions of higher education that enter into partnerships with
19	for-profit entities to support research to improve the secu-
20	rity of computer systems. The partnerships may also in-
21	clude government laboratories. The program shall—
22	"(1) include multidisciplinary, long-term re-
23	search;
24	"(2) include research directed toward addressing
25	needs identified through the activities of the Computer

System Security and Privacy Advisory Board under
 section 20(f); and

"(3) promote the development of a robust research community working at the leading edge of knowledge in subject areas relevant to the security of computer systems by providing support for graduate students, post-doctoral researchers, and senior researchers.

"(b) Fellowships.—

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"(1) In General.—The Director is authorized to establish a program to award post-doctoral research fellowships to individuals who are citizens, nationals, or lawfully admitted permanent resident aliens of the United States and are seeking research positions at institutions, including the Institute, engaged in research activities related to the security of computer systems, including the research areas described in section 4(a)(1) of the Cyber Security Research and Development Act.

"(2) Senior research fellowships to individuals seeking rerector is authorized to establish a program to award senior research fellowships to individuals seeking research positions at institutions, including the Institute, engaged in research activities related to the security of computer systems, including the research areas described in section 4(a)(1) of the Cyber Security Research and Development Act. Senior research fellowships shall be made available for established researchers at institutions of higher education who seek to change research fields and pursue studies related to the security of computer systems.

"(3) Eligibility.—

"(A) In General.—To be eligible for an award under this subsection, an individual shall submit an application to the Director at such time, in such manner, and containing such information as the Director may require.

"(B) Stipends.—Under this subsection, the Director is authorized to provide stipends for post-doctoral research fellowships at the level of the Institute's Post Doctoral Research Fellowship Program and senior research fellowships at levels consistent with support for a faculty member in a sabbatical position.

"(c) AWARDS; APPLICATIONS.—

"(1) In General.—The Director is authorized to award grants or cooperative agreements to institutions of higher education to carry out the program established under subsection (a).

1	"(2) Eligibility.—To be eligible for an award
2	under this section, an institution of higher education
3	shall submit an application to the Director at such
4	time, in such manner, and containing such informa-
5	tion as the Director may require. The application
6	shall include, at a minimum, a description of—
7	"(A) the number of graduate students an-
8	ticipated to participate in the research project
9	and the level of support to be provided to each;
10	"(B) the number of post-doctoral research
11	positions included under the research project and
12	the level of support to be provided to each;
13	"(C) the number of individuals, if any, in-
14	tending to change research fields and pursue
15	studies related to the security of computer sys-
16	tems to be included under the research project
17	and the level of support to be provided to each;
18	and
19	"(D) how the for-profit entities and any
20	other partners will participate in developing and
21	carrying out the research and education agenda
22	$of\ the\ partnership.$
23	"(d) Sliding Scale Cost-Sharing.—In awarding a
24	grant under this section, the Director shall require up to
25	50 percent of the costs of the project funded by the grant

1	to be met by the for-profit entity or entities in the partner-
2	ship. The Director shall base the percentage of cost-sharing
3	required under this paragraph on a sliding scale reflecting
4	the degree to which the results of the research undertaken
5	by a partnership may reasonably be expected to be applied
6	and shared, with—
7	"(1) the smallest percentage of cost-sharing re-
8	quired for projects the anticipated results of which are
9	reasonably expected to be of broadest potential appli-
10	cation and broadly shared; and
11	"(2) the greatest percentage of cost-sharing re-
12	quired for projects the anticipated results of which are
13	reasonably expected—
14	"(A) to be of narrow or proprietary appli-
15	cation; or
16	"(B) not to be broadly shared.
17	"(e) Program Operation.—
18	"(1) Management.—The program established
19	under subsection (a) shall be headed by the Director
20	of the Office for Information Security Programs and
21	managed by individuals who shall have both expertise
22	in research related to the security of computer systems
23	and knowledge of the vulnerabilities of existing com-
24	puter systems. The Director shall designate such indi-

1	viduals, on a competitive basis, as program man-
2	agers.
3	"(2) Managers may be employees.—Program
4	managers designated under paragraph (1) may be
5	new or existing employees of the Institute.
6	"(3) Manager responsibility.—Program
7	managers designated under paragraph (1) shall be re-
8	sponsible for—
9	"(A) establishing and publicizing the broad
10	research goals for the program;
11	"(B) soliciting applications for specific re-
12	search projects to address the goals developed
13	under subparagraph (A);
14	"(C) selecting research projects for support
15	under the program from among applications
16	submitted to the Institute, following consider-
17	ation of—
18	"(i) the novelty and scientific and
19	technical merit of the proposed projects;
20	"(ii) the demonstrated capabilities of
21	the individual or individuals submitting the
22	applications to successfully carry out the
23	proposed research;

1	"(iii) the impact the proposed projects
2	will have on increasing the number of com-
3	puter security researchers;
4	"(iv) the nature of the participation by
5	for-profit entities and the extent to which
6	the proposed projects address the concerns of
7	$industry;\ and$
8	"(v) other criteria determined by the
9	Director, based on information specified for
10	inclusion in applications under subsection
11	(c); and
12	"(D) monitoring the progress of research
13	projects supported under the program.
14	"(4) From amounts available for awards under
15	subsection (c), the Director, in consultation with the
16	Director of the Office for Information Security Pro-
17	grams established in section 20 of this Act, may as-
18	sign up to 5 percent to a Directors Fund which may
19	be awarded throughout the fiscal year at the discre-
20	tion of the Director to promising projects designed to
21	fulfill the goals stated in subsection (a). Such projects
22	should be innovative in nature and should meet
23	emerging needs in computer security.
24	"(f) Review of Program.—

"(1) PERIODIC REVIEW.—The Director shall periodically review the portfolio of research awards monitored by each program manager designated in accordance with subsection (e). In conducting those reviews, the Director shall seek the advice of the Computer System Security and Privacy Advisory Board, established under section 21, on the appropriateness of the research goals and on the quality and utility of research projects managed by program managers in accordance with subsection (e).

"(2) Comprehensive 5-year review.—The Director shall also contract with the National Research Council for a comprehensive review of the program established under subsection (a) during the 5th year of the program. Such review shall include an assessment of the scientific quality of the research conducted, the relevance of the research results obtained to the goals of the program established under subsection (e)(3)(A), and the progress of the program in promoting the development of a substantial academic research community working at the leading edge of knowledge in the field. The Director shall submit to Congress a report on the results of the review under this paragraph no later than 6 years after the initiation of the program. "(a) DEFINITIONS.—In this section:

1	"(1) Computer system.—The term 'computer
2	system' has the meaning given that term in section
3	20(d)(1).
4	"(2) Institution of higher education.—The
5	term 'institution of higher education' has the meaning
6	given that term in section 101 of the Higher Edu-
7	cation Act of 1965 (20 U.S.C. 1001).".
8	(b) Amendment of Computer System Defini-
9	TION.—Section $20(d)(1)(B)(i)$ of National Institute of
10	Standards and Technology Act (15 U.S.C. 278g-
11	3(d)(1)(B)(i)) is amended to read as follows:
12	"(i) computers and computer net-
13	works;".
14	(c) Appropriate Federal Agency Benchmark Se-
15	CURITY STANDARDS.—
16	(1) NIST REPORT.—Not later than 180 days
17	after the date of enactment of this Act, the Director
18	of the National Institute of Standards and Technology
19	shall submit to Committee on Commerce, Science, and
20	Transportation of the Senate, the Committee on
21	Science of the House of Representatives, and the Com-
22	mittees on Appropriations of the Senate and the
23	House of Representatives a report that—
24	(A) identifies specific Federal agency bench-
25	mark security standards that should be developed

by the Institute over the 12-month period beginning on the date of the report, and that should serve as the basis for security standards that will eventually be adopted by the departments and agencies of the Federal Government; and

- (B) recommends, in consultation with the Office of Management and Budget, any Federal funding, in addition to levels authorized under this title, that the Institute requires fully to carry out its mission under this title.
- (2) NIST recommendations for federal agency benchmark standards.—

(A) In General.—Not later than 1 year after the date of the report issued under paragraph (1), Director of the National Institute of Standards and Technology shall, in consultation with appropriate public and private entities, prepare a report for the Secretary of Commerce and the Chairman of the Federal Chief Information Officers council that contains recommendations for specific, reasonable Federal agency benchmark security standards to be adopted by the civilian departments and agencies of the Federal Government.

1	(B) UPDATES.—The Director shall review
2	the recommended standards under subparagraph
3	(A) not less than once every 6 months, and up-
4	date such standards or issue new standards as
5	necessary. Nothing in this title shall prohibit the
6	Director from updating any portion of such rec-
7	ommended standards more frequently if deter-
8	mines that circumstances so require.
9	(C) Dissemination.—The Secretary of
10	Commerce shall widely disseminate the report
11	under subparagraph (A), and any update of the
12	report under subparagraph (B), among relevant
13	government (including State and local govern-
14	ment), private and academic entities.
15	(3) Adoption of Benchmark Security Stand-
16	ARDS GOVERNMENT-WIDE.—
17	(A) In general.—Not later than 90 days
18	after the date of the report under paragraph
19	(2)(A), each civilian department and agency of
20	the Federal Government shall implement the ap-
21	propriate benchmark security standards rec-
22	ommended by such report.
23	(B) Implementation of updated stand-
24	ARDS.—If the Director of the National Institute
25	of Standards and Technology, through the Sec-

retary of Commerce, updates recommended federal agency benchmark security standards pursuant to paragraph (2), each civilian department and agency of the Federal Government shall implement such updated standards not later than 30 days after the date of the report containing recommendations for updated standards.

(C) Implementation of New Stand-ARDS.—If the Director of the National Institute of Standards and Technology, through the Secretary of Commerce, recommends new Federal agency benchmark security standards pursuant to paragraph (2), each civilian department and agency of the Federal Government shall implement such new standards no later than 90 days after the date of the report containing recommendations for new standards.

(4) Current federal agency benchmark security standards.—

(A) IN GENERAL.—Not later than 90 days after the date of enactment of this Act, the Director of the Chairman of the Federal Chief Information Officers Council shall provide to the Director of the National Institute of Standards and Technology a list of the specific benchmarks that

1	each civilian department and agency of the Fed-
2	eral Government uses as its current minimum
3	security standards.
4	(B) Classified report.—The list under
5	this paragraph shall be provided in classified
6	form.
7	(5) Authorization of Appropriations.—
8	There are hereby authorized to be appropriated for the
9	National Institute of Standards and Technology for
10	purposes of activities under this subsection,
11	\$15,000,000 for each of fiscal years 2003 through
12	2007
13	(d) Reports to Congress.—
14	(1) Federal Chief Information officers re-
15	PORT.—Not later than 36 months after the date of en-
16	actment of this Act, the Chairman of Federal Chief
17	Information Officers Council shall submit a report to
18	the appropriate committees of Congress including—
19	(A) a description of the status of implemen-
20	tation of the federal agency benchmark security
21	standards at each department and agency of the
22	$Federal\ Government;$
23	(B) a description of the costs associated
24	with such implementation: and

1	(C) a description of any barriers to imple-
2	mentation and recommendation for overcoming
3	such barriers.
4	(2) National academy of sciences study.—
5	Not later than 3 months after the date of enactment
6	of this Act, the Director of the National Institute of
7	Standards and Technology shall enter into an ar-
8	rangement with the National Research Council of the
9	National Academy of Sciences to conduct a study to
10	examine the impact of requiring Federal agencies to
11	implement benchmark security standards on the state
12	of national cyber security preparedness.
13	(A) Matters to be assessed in study.—
14	At a minimum, the study shall address the fol-
15	lowing:
16	(i) The extent to which the cyber secu-
17	rity posture of federal agencies would be im-
18	proved with the adoption of the standards
19	described in subsection $(c)(2)(A)$.
20	(ii) The operational benefits, costs and
21	consequences of the adoption of the stand-
22	ards described in subsection $(c)(2)(A)$.
23	(iii) The operational consequences of
24	differing cyber security needs for different
25	Federal agencies.

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1	(B) Classified report.—To the max-
2	imum degree possible, the final report shall be
3	submitted in unclassified form with classified
4	annexes as necessary.
5	(C) Interagency cooperation with
6	STUDY.—All Federal agencies shall cooperate
7	fully with the National Research Council in its
8	activities in carrying out the study under this
9	section.
10	(D) Expedited processing of security
11	CLEARANCES FOR STUDY.—For the purpose of fa-
12	cilitating the commencement of the study under
13	this section, officials in relevant departments
14	and agencies of the Federal Government shall ex-
15	pedite to the fullest degree possible the processing
16	of security clearances that are necessary for the
17	National Research Council to conduct the study.
18	(E) Report.—The Director of the National
19	Institute of Standards and Technology shall
20	transmit a report to containing the results of the
21	study to the Congress not later than 21 months
22	after the date of enactment of this Act.
23	(F) Authorization of Appropria-
24	TIONS.—There are authorized to be appropriated

to the Secretary of Commerce for the National

25

1	Institute of Standards and Technology for the
2	purposes of carrying out this subsection,
3	\$800,000.
4	(e) Information Security Program Office.—Sec-
5	tion 20 of the National Institute of Standards and Tech-
6	nology Act (15 U.S.C. 278g-3), is amended by redesig-
7	nating subsection (d) as subsection (e) and by inserting
8	after subsection (c) the following:
9	"(d) Establishment of an Office for Informa-
10	TION SECURITY PROGRAMS.—
11	"(1) In general.—There is established in
12	the Institute an Office for Information Security
13	Programs.
14	"(2) Head.—The Office for Information Se-
15	curity Programs shall be headed by a Director,
16	who shall be a senior executive and shall be com-
17	pensated at a level in the Senior Executive Serv-
18	ice under section 5382 of title 5, United States
19	Code, as determined by the Secretary of Com-
20	merce.
21	"(3) Function.—The Director of the Insti-
22	tute shall delegate to the Director of the Office of
23	Information Security Programs the authority to
24	administer all functions under this section, ex-
25	cept that any such delegation shall not relieve

1	the Director of the Institute of responsibility for
2	the administration of such functions. The Direc-
3	tor of the Office of Information Security Pro-
4	grams shall serve as principal adviser to the Di-
5	rector of the Institute on all functions under this
6	section."
7	SEC. 9. COMPUTER SECURITY REVIEW, PUBLIC MEETINGS,
8	AND INFORMATION.
9	Section 20 of the National Institute of Standards and
10	Technology Act (15 U.S.C. 278g-3) is amended by adding
11	at the end the following new subsection:
12	"(f) Authorization of Appropriations.—There are
13	authorized to be appropriated to the Secretary \$1,060,000
14	for fiscal year 2003 and \$1,090,000 for fiscal year 2004
15	to enable the Computer System Security and Privacy Advi-
16	sory Board, established by section 21, to identify emerging
17	issues, including research needs, related to computer secu-
18	rity, privacy, and cryptography and, as appropriate, to
19	convene public meetings on those subjects, receive presen-
20	tations, and publish reports, digests, and summaries for
21	public distribution on those subjects.".
22	SEC. 10. INTRAMURAL SECURITY RESEARCH.
23	Section 20 of the National Institute of Standards and
24	Technology Act (15 U.S.C. 278g-3), as amended by this Act,
25	is further amended by redesignating subsection (f) as sub-

1	section (g), and by inserting after subsection (e) the fol-
2	lowing:
3	"(f) Intramural Security Research.—As part of
4	the research activities conducted in accordance with sub-
5	section (b)(4), the Institute shall—
6	"(1) conduct a research program to address
7	emerging technologies associated with assembling a
8	networked computer system from components while
9	ensuring it maintains desired security properties;
10	"(2) carry out research associated with improv-
11	ing the security of real-time computing and commu-
12	nications systems for use in process control; and
13	"(3) carry out multidisciplinary, long-term,
14	high-risk research on ways to improve the security of
15	computer systems.".
16	SEC. 11. AUTHORIZATION OF APPROPRIATIONS.
17	There are authorized to be appropriated to the Sec-
18	retary of Commerce for the National Institute of Standards
19	and Technology—
20	(1) for activities under section 22 of the National
21	Institute of Standards and Technology Act, as added
22	by section 8 of this Act—
23	(A) \$25,000,000 for fiscal year 2003;
24	(B) \$40,000,000 for fiscal year 2004;
25	(C) \$55,000,000 for fiscal year 2005:

1	(D) \$70,000,000 for fiscal year 2006;					
2	(E) \$85,000,000 for fiscal year 2007; and					
3	(2) for activities under section 20(f) of the Na					
4	tional Institute of Standards and Technology Act, as					
5	added by section 10 of this Act—					
6	(A) \$6,000,000 for fiscal year 2003;					
7	(B) \$6,200,000 for fiscal year 2004;					
8	(C) \$6,400,000 for fiscal year 2005;					
9	(D) \$6,600,000 for fiscal year 2006; and					
10	(E) \$6,800,000 for fiscal year 2007.					
11	SEC. 12. NATIONAL ACADEMY OF SCIENCES STUDY ON COM-					
12	PUTER AND NETWORK SECURITY IN CRITICAL					
13	INFRASTRUCTURES.					
14	(a) STUDY.—Not later than 3 months after the date					
15	of the enactment of this Act, the Director of the National					
16	Institute of Standards and Technology shall enter into an					
17	arrangement with the National Research Council of the Na-					
18	tional Academy of Sciences to conduct a study of the					
19	vulnerabilities of the Nation's network infrastructure and					
20	make recommendations for appropriate improvements. The					
21	National Research Council shall—					
22	(1) review existing studies and associated data					
23	on the architectural, hardware, and software					
24	vulnerabilities and interdependencies in United					
25	States critical infrastructure networks;					

1	(2) identify and assess gaps in technical capa-					
2	bility for robust critical infrastructure network secu-					
3	rity, and make recommendations for research prior-					
4	ities and resource requirements; and					
5	(3) review any and all other essential element					
6	of computer and network security, including security					
7	of industrial process controls, to be determined in the					
8	conduct of the study.					
9	(b) Report.—The Director of the National Institute					
10	of Standards and Technology shall transmit a report con-					
11	taining the results of the study and recommendations re-					
12	quired by subsection (a) to the Congress not later than 21					
13	months after the date of enactment of this Act.					
14	(c) Security.—The Director of the National Institute					
15	of Standards and Technology shall ensure that no informa-					
16	tion that is classified is included in any publicly released					
17	version of the report required by this section.					
18	(d) Authorization of Appropriations.—There are					
19	authorized to be appropriated to the Secretary of Commerce					
20	for the National Institute of Standards and Technology for					
21	the purposes of carrying out this section, \$700,000.					
22	SEC. 13. COORDINATION OF FEDERAL CYBER SECURITY RE-					
23	SEARCH AND DEVELOPMENT					
24	Section 205 (a) of the National Science and Tech-					
25	nology Policy Act (42 U.S.C. 6614 (a)) is amended					

1	(1) by striking "and" after the semicolon in					
2	paragraph (12);					
3	(2) by redesignating paragraph (13) as para-					
4	graph (14); and					
5	(3) by inserting after paragraph (12) the fol-					
6	lowing:					
7	"(13) develop strategies, in consultation with the					
8	Office of Homeland Security, the President's Critical					
9	Infrastructure Protection Board, and the relevant fed-					
10	eral departments and agencies, to foster greater co-					
11	ordination of federal research and development activi-					
12	ties and promote cooperation between the Federal					
13	Government, institutions of higher education, and					
14	private industry in the field of cyber security; and"					

Calendar No. 549

 $_{\mathrm{2D\ SESSION}}^{\mathrm{107TH\ CONGRESS}}\ \boldsymbol{S.\ 2182}$

[Report No. 107-239]

A BILL

To authorize funding for computer and network security research and development and research fellowship programs, and for other purposes.

August 1, 2002 Reported with an amendment